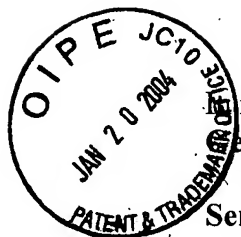


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re the Application of:
George K. Phillips, et al.

Serial No.: 10/686,758

Filed: October 15, 2003

For: COPY-RESISTANT SECURITY
PAPER

)
) **Group Art Unit:** Not-Yet-Assigned
)

) **Confirmation No.:** 8709
)

) **Examiner:** Not-Yet-Assigned
)
)
)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR § 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. Copies of US Patents and US Patent Publications are not enclosed, pursuant to the US Patent & Trademark Office waiver of this requirement under 37 CFR § 1.98 (a)(2)(i) for patent applications filed after June 30, 2003. Copies of foreign cited references are enclosed.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING

(37 C.F.R. §1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposit with the Unites States Postal Services on the date shown below with sufficient postage as "First Class Mail" to addressee in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 15, 2004
Date of Deposit

Jocelyn L. Lee
Name of Person transmitting Paper: Jocelyn L. Lee

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

STATEMENT UNDER 37 CFR § 1.97(e):

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after

making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

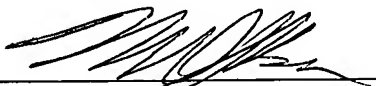
PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

- ☐ A check in the amount of _____ is enclosed for the above fee(s).
- ☐ Please charge _____ to Deposit Account No. **50-2518** for the above fee(s).
- ☒ Although applicant believes no fee is required, the Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Bingham McCutchen's Deposit Account No. **50-2518**.

Respectfully submitted,
BINGHAM McCUTCHEN LLP

Dated: January 15, 2004

By: _____


Michael J. Bolan
Reg. No. 42,339

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Three Embarcadero, Suite 1800
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Customer Number

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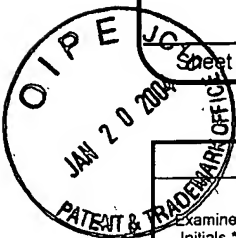
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/686,758
Filing Date	October 15, 2003
First Named Inventor	George K. Phillips, et al
Group Art Unit	Not-Yet-Assigned
Examiner Name	Not-Yet-Assigned
Attorney Docket Number	2024766-7036392001



U.S. PATENT PUBLICATIONS DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Publication Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1.	4,168,088		Somlyody	09-18-1979	
	2.	4,227,719		McEligott et al.	10-14-1980	
	3.	4,310,180		Mowry, Jr. et al.	01-12-1982	
	4.	4,552,617		Crane	11-12-1985	
	5.	5,018,767		Wicker	05-28-1991	
	6.	5,171,040		Omdorff	12-15-1992	
	7.	5,193,853		Wicker	03-16-1993	
	8.	5,344,192		Phillips	09-06-1994	
	9.	5,375,886		Tsuchiya	12-27-1994	
	10.	5,479,507		Anderson	12-26-1995	
	11.	5,481,378		Sugano et al.	01-02-1996	
	12.	5,568,248		Wagley	10-22-1996	
	13.	5,695,220		Phillips	12-09-1997	
	14.	5,843,564		Gasper et al.	12-01-1998	
	15.	5,704,651		Phillips	01-06-1998	
	16.	5,762,378		Phillips	06-09-1998	
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	18.	5,795,643		Steininger et al.	08-18-1998	
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	21.	5,830,609		Warner et al.	11-03-1998	
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	24.	5,924,737		Schrupp	07-20-1999	
	25.	5,951,055		Mowry, Jr.	09-14-1999	
	26.	5,954,368		Mowry, Jr.	09-21-1999	
	27.	6,000,728		Mowry, Jr.	12-14-1999	
	28.	6,030,691		Burchard et al.	02-29-2000	
	29.	6,038,016		Jung et al.	03-14-2000	
	30.	6,062,604		Taylor et al.	05-16-2000	
	31.	6,095,425		Mowry, Jr.	08-01-2000	
	32.	6,104,812		Koltai et al.	08-15-2000	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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		Number	Kind Code ² (if known)			
	33.	6,171,734		Warner et al.	01-09-2001	
	34.	6,301,363	B1	Mowry, Jr.	10-09-2001	
	35.	2002/0012447	A1	Amidror et al.	01-31-2002	
	36.	6,394,358	B1	Thaxton et al.	05-28-2002	
	37.	6,481,753	B2	Van Boom et al.	11-19-2002	
	38.	2002/0176114	A1	Zeller et al.	11-28-2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Country Code ³ – Number ⁴ – Kind Code ⁵ (if known)				
	39.	WO 97/31784	09-04-1997	Verify First Technologies, Inc.		
	40.	WO 99/48700	09-30-1999	Verify First Technologies, Inc.		

Examiner
Signature

Date
Considered

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